



## DUAL FOCUS (Investment Managers)

### Schedule

This is a claims made Certificate. Except as otherwise provided herein, this Certificate covers only *Claims* first made against the *Insureds* and *Fund Entity* during the *Certificate Period*. All words in italics within the Certificate or this Schedule shall have the meaning given to them in Section 2 of the Certificate entitled "Definitions".

Your attention is drawn to Notification at Section 5.5.  
Non-compliance with this provision may prevent recovery under this Certificate.

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<b>Certificate Number:</b>	«PolicyRef»
<b>Date:</b>	«BoundDate»
<b>Item 1:</b>	<b>Name and Head Office of <i>Policyholder</i>:</b>  Name: «InsuredName» Address: «InsuredAdd1» «InsuredAdd2Remove» «InsuredAdd2» «InsuredCity» «InsuredStateRemove» «InsuredState» «InsuredPostcode» «InsuredCountry»
<b>Item 2:</b>	<b><i>Certificate Period</i>:</b>  From: «PolicyInceptionDate»                      To: «PolicyExpiryDate» «CertificatePeriodText»
<b>Item 3:</b>	<b>Indemnity Limit:</b> «InvCurr»                      For all <i>Claims</i> the <i>Certificate Period</i> «TableStart:Coverage»                      This is the aggregate <i>Limit of Indemnity</i> , save to the extent «CoverageLimit» «TableEnd:Coverage»                      otherwise stated in the Certificate.
<b>Item 3.1:</b>	<b>Insuring Clauses covered::</b> «InsClsCovered»
<b>Item 4:</b>	<b>Deductible:</b> Insuring Clause 1.1: «DeductibleAmount1» «DeductibleText1» Insuring Clause 1.2: «DeductibleAmount2» «DeductibleText2» Insuring Clause 1.3: «DeductibleAmount3» «DeductibleText3» Insuring Clause 1.4: «DeductibleAmount4» «DeductibleText4»
<b>Item 5:</b>	<b><i>Continuity Date</i>:</b> «ContinuityDate» <b><i>Retroactive Date</i>:</b> «RetroactiveDate»
<b>Item 6:</b>	<b>Premium (100%):</b> «InvCurr» «Premium100»                      For the <i>Certificate Period</i> <b>Hereon:</b> <b>Premium:</b> «InvCurr» «BoundPremium»                      For the <i>Certificate Period</i> <b>Plus Tax/Stamp Duty:</b> «InvCurr» «BoundTax»  «FeesRemove»  <b>«TableStart:Fees» «FeesName»:</b> «InvCurr» «FeesAmount» «TableEnd:Fees»

**Item 7:**                   **Date of Proposal:**       «ProposalFormDate»

**Item 8:**                   **Certificate Wording:**

FC IMI

The contents of this Schedule together with the Statements made in the Proposal for this insurance form an integral part of the attached Certificate.

**Item 9:**                   **Endorsements attached at inception:**

None «EndtsRemove»

«NoEndts»               As per Wording, plus  
«TableStart:Endorsement»«EndorsementTitle»«TableEnd:Endorsement»

**Subjectivities:**

See attached Subjectivity Endorsement «SubjsRemove»

**Item 10:**               **Funds Covered:**                   «FundsCovered»

**Item 11:**               **New Fund Size:**                   «NFSize»

**Item 12:**               **Governing Law and Jurisdiction:**   «LawJurisd»